

Introduction to perturbative QCD

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1.4.2025 @ Sun Yat-sen Univ.

Part 2. Parton-hadron duality

⇒ Hadron Cross-Section
in e^+e^- - annihilation

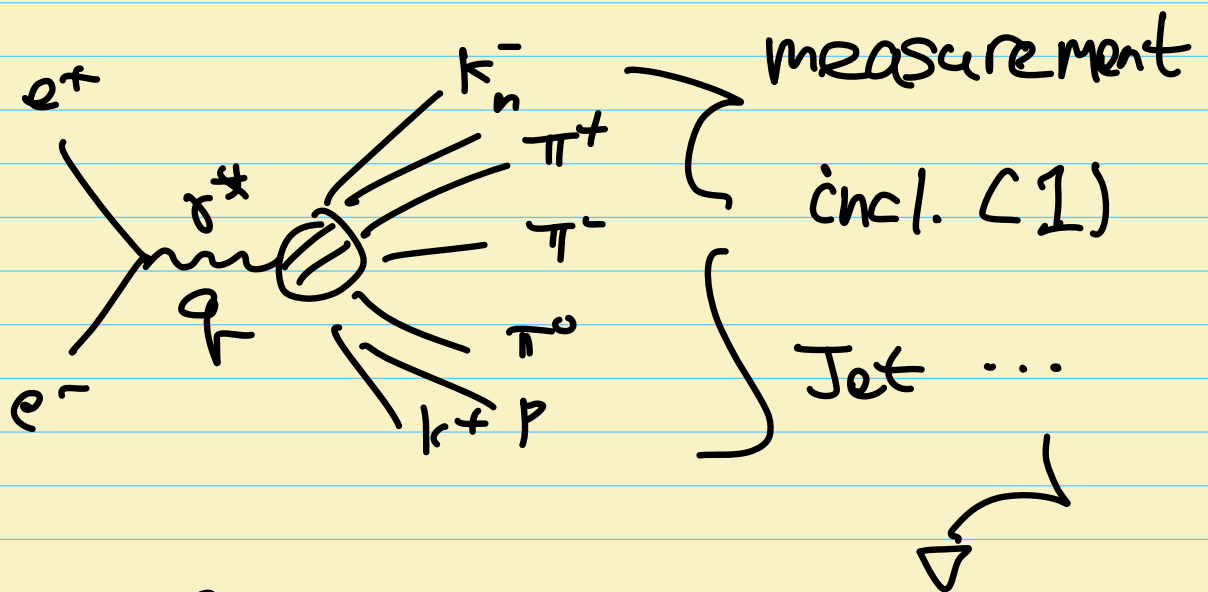
⇒ Operator Product Expansion

⇒ dispersion relation &
the parton-hadron duality

Parton-hadron duality

Hadron X-section in e^+e^- -annihilation

We consider



$$\sigma = \frac{2}{s} e^2 \int_{\text{phase-space}} \overline{|M|^2} \Theta(\overline{E}_N)$$

matrix element phase-space cut.

phase-space
Integration

$$\times (2\pi)^4 \delta^{(4)}(q - \sum_x p_x)$$

$$= \frac{1}{4} \frac{e^2}{2s} L_{\mu\nu}(l, \bar{l}) \rightarrow \text{leptonic tensor}$$

lepton
spin
ave.

q^μ

$$\times \frac{1}{(q^2)^2} (q^2 g^{\mu\nu} - q^\mu q^\nu) H(q^2)$$

photon propagator



required
by gauge

Here

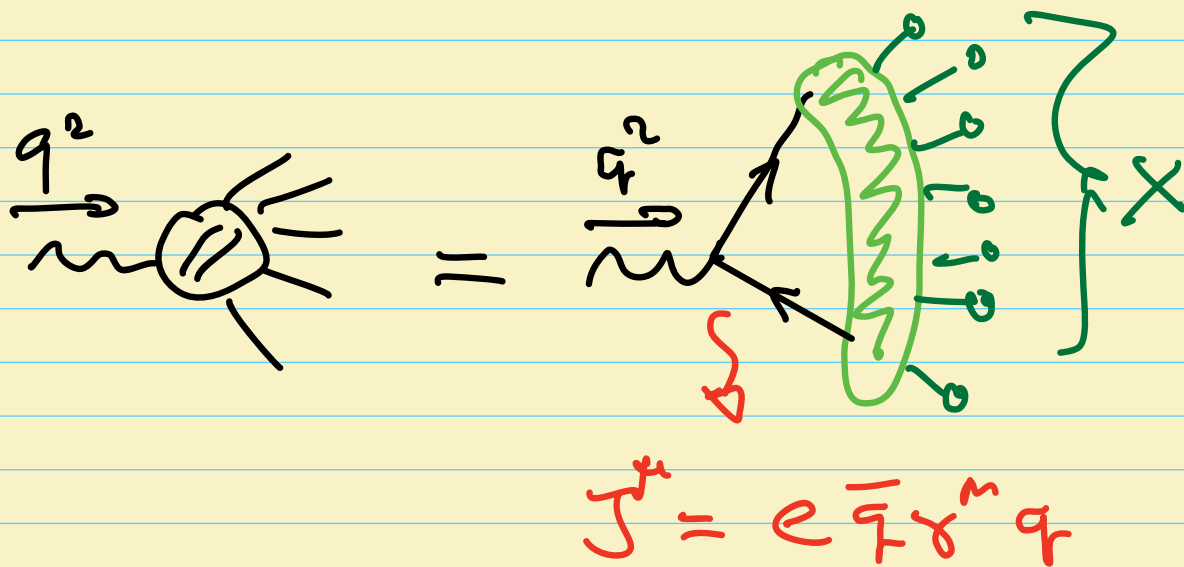
$$L_{\mu\nu}(l, \bar{l})$$

$$= 4(l^\mu \bar{l}^\nu + l^\nu \bar{l}^\mu - g^{\mu\nu} l \cdot \bar{l})$$

$$\Rightarrow \sigma = \frac{1}{4} \frac{e^2}{2s} \cancel{4} \cancel{q^2} \cdot \frac{(-q^2)}{q^4} H(q^2)$$

$$= \frac{4\pi\alpha}{q^2} \left(-\frac{1}{2}\right) H(q^2)$$

at $q^2 \gg \Lambda_{QCD}^2$ we have



Therefore

$$3q^2 F_2(q^2) = e_q^2 \int \frac{d^4x}{x} \langle 0 | J^\mu(0) | X \rangle \langle X | J_\mu(0) | 0 \rangle$$

$$\times (2\pi)^4 \delta^{(4)}(q - \sum_x p_x)$$

from optical theorem *

$$3q^2 HCq^2)$$

$$= e_q^2 \times 2 \operatorname{Im} i \int d^4x e^{iq \cdot x} \langle 0 | T [J(x) J_\mu(0)] | 0 \rangle$$

$$= e_q^2 \times 2 \operatorname{Im} i \left(\begin{array}{c} q \\ \sim \\ \mu \end{array} \circlearrowleft \begin{array}{c} // \\ // \end{array} \sim \mu \right)$$

hadrons

and

$$\Gamma = \frac{4\pi\alpha}{q^2} \left(-\frac{1}{2}\right)$$

$$e_q^2 \times \frac{2}{3q^2} \operatorname{Im} i \left(\begin{array}{c} q \\ \sim \\ \mu \end{array} \circlearrowleft \begin{array}{c} // \\ // \end{array} \sim \mu \right)$$

$$* \langle i | S^\dagger S | i \rangle = 1$$

$$= \langle i | (1 - iT^\dagger) (1 + iT) | i \rangle = 1$$

$$= \langle i | i \rangle - i \langle i | T^\dagger | i \rangle$$

$$+ i \langle i | T | i \rangle$$

$$+ \langle i | T^\dagger T | i \rangle = X$$

$$\Rightarrow \langle i | T^\dagger T | i \rangle = 2 \operatorname{Im} \langle i | T | i \rangle$$

$$\Rightarrow \int_{\mathcal{F}} \langle i | T^* | f \rangle \langle f | T | i \rangle = 2 \operatorname{Im} \langle i | T | i \rangle$$

$$\Rightarrow \int_{\mathcal{F}} |T_{if}|^2 (2\pi)^4 \delta^{(4)}(i-f) \quad (2\pi)^4 \delta(0)$$

$$= 2 \operatorname{Im} T_{ii} \quad \delta(0) (2\pi)^4$$

Specifically, we consider ✓

$$\begin{aligned}
 & \int d^4x e^{iqx} \langle 0 | T [J^\mu(x)]_\mu^{(0)} | 0 \rangle \\
 &= \int d^4x e^{iqx} \langle 0 | \tilde{J}^\mu(x)]_\mu^{(0)} | 0 \rangle \theta(x^0) \\
 &+ \int d^4x e^{iqx} \langle 0 | \tilde{J}^\mu(0)]_\mu(x) | 0 \rangle \theta(-x^0) \\
 &= \int d^4x e^{i(q-P_x) \cdot x} \sum_x \langle 0 | J^\mu(0) | x \rangle \langle x |]_\mu^{(0)} | 0 \rangle \int \frac{d\lambda}{2\pi i} \frac{e^{i\lambda x^0}}{\lambda - i\epsilon} \\
 &+ \int d^4x e^{i(q+P_x) \cdot x} \sum_x \langle 0 | J^\mu(0) | x \rangle \langle x |]_\mu^{(0)} | 0 \rangle \int \frac{d\lambda}{2\pi i} \frac{e^{i\lambda x^0}}{\lambda + i\epsilon} (-1) \\
 &= \frac{(2\pi)^4}{2\pi i} \frac{\delta^{(3)}(\vec{q} - \vec{P}_x)}{q^0 - P_x^0 - i\epsilon} \sum_x \langle 0 | J^\mu | x \rangle \langle x |]_\mu | 0 \rangle \\
 &- \frac{(2\pi)^4}{2\pi i} \frac{\delta^{(3)}(\vec{q} + \vec{P}_x)}{q^0 + P_x^0 + i\epsilon} \sum_x \langle 0 | J^\mu | x \rangle \langle x |]_\mu | 0 \rangle
 \end{aligned}$$

$\hookrightarrow q^0 + P^0 \neq 0$ for physical states $\Rightarrow i\epsilon \rightarrow 0$

$$\Rightarrow 2 \text{Im} i \langle 0 | T (\tilde{J}^\mu(x)]_\mu^{(0)}) | 0 \rangle = \sum_x (2\pi)^4 \delta^{(3)}(q - P_x) \langle 0 | J^\mu | x \rangle^2$$

- Operator Product Expansion (OPE)

now if $x \rightarrow 0$, more precisely

$|x| \ll \frac{1}{\Lambda_{QCD}}$. We can expand

$J(x)J(0)$ in terms of local operators

$$\underbrace{\dim = (-2)} \quad \underbrace{\dim = (-4)} \quad \underbrace{\dim = 6} \quad \neq \dim = 0$$

$$\frac{1}{3q^2} \int d^4x e^{iq \cdot x} \dots J^\mu(x) J_\mu(0) \dots$$

$$= \frac{1}{3q^2} \int d^4x e^{iq \cdot x} \dots \left\{ C_1(x) \mathbb{1} \right. \xrightarrow{\dim=0}$$

$$\left. + C_2(x) \underline{m \bar{q} q(0)} + C_3(x) F^2 \right\} \xrightarrow{\dim=4}$$

$$+ \dim = 6 \text{ operators} + \dots \}$$

⇒ as long as symmetries allow,
the operator will occur on the
right in the expansion.

Some of them may not be independent
e.g. related by equations of motion

Independent operators form a basis

⇒ $\langle 0 | \dots | 0 \rangle \Rightarrow$ only scalars.

⇒ organized by the dimension
of the operators

$$= \tilde{C}_1(q^2) \langle 0 | \mathbb{1} | 0 \rangle$$

↳ parton

$$+ \tilde{C}_2(q^2) \frac{1}{q^4} \langle 0 | m \bar{q} q | 0 \rangle$$

$\equiv C_F \mathcal{O}(\Lambda_{QCD}^4)$

$$+ \tilde{C}_3(q^2) \frac{1}{q^4} \langle 0 | F^2 | 0 \rangle$$

dim - 0

$\equiv \mathcal{O}(\Lambda_{QCD}^4)$

⇒ one type of factorization

⇒ Short-distance physics in the coefficient $\tilde{C}_i(q^2)$, Wilson Coefficient can be perturbatively calculated!

e.g. $\text{loop} \sim \tilde{C}_1(q^2) = \mathbb{1}(q^2)$

$$\frac{q^2}{3} \text{ (diagram with two quark lines) } \sim C_2(q^2)$$

$$\frac{q^2}{3} \text{ (diagram with a gluon loop) } \sim \tilde{C}_g(q^2)$$

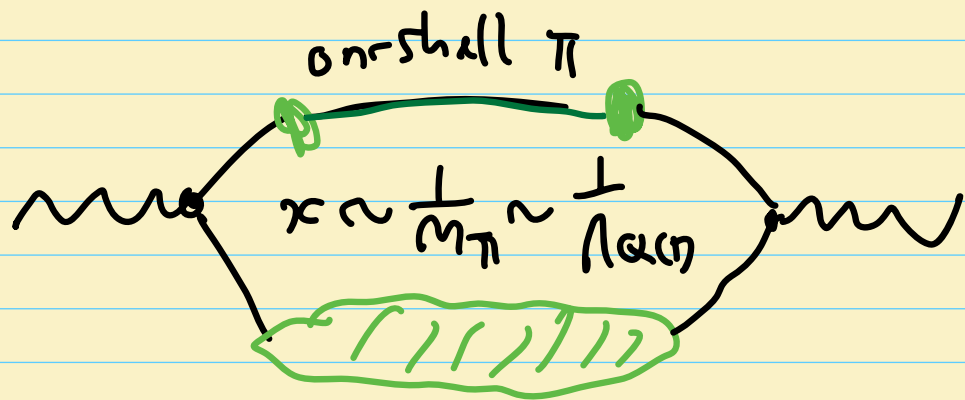
→ long-distance in the $\langle 0 | \dots | 0 \rangle$, knows about hadrons, but suppressed by $\frac{\Lambda_{QCD}^4}{q^4}$

⇒ higher dimensional operators are less important / more suppressed as $q^2 \rightarrow \infty$

If the OPE is allowed, then the hadron cross-section is determined dominantly by $C_2(q^2)$ which knows only quarks and gluons and can be perturbatively calculated

But the OPE is valid
 when $q^2 < 0$ (space-like)
 in this region, no on-shell hadron
 can be produced, $q^2 \sim \frac{1}{q} \sim \frac{1}{\Lambda_{QCD}}$

$q^2 > 0$

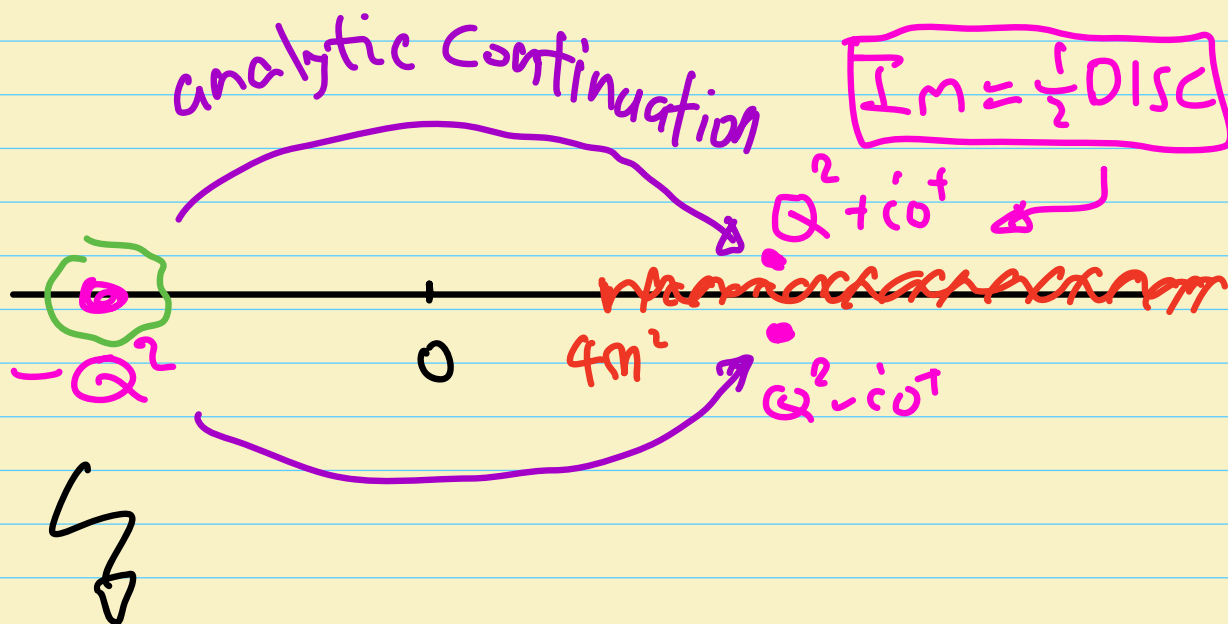


OPE is NOT justified
 in the physical region.

Typically what we do is performing OPE for $q^2 < 0$ and analytically continuing to the physical region $q^2 > 0$

q^2

Analytic everywhere, except $\text{Re}(q^2) > 4m^2$



Perform OPE $\Pi(-Q^2) = e_q^2 \Pi(-Q^2) + \frac{\Lambda_{QCD}^3}{Q^4}$

However since we do not know the complete form of $\Pi(-q^2)$ we can only do the analytic continuation order-by-order. We miss information

for instance

$$\Pi(q^2) = \frac{\alpha}{3\pi} \left\{ \ln \frac{-q^2 + i0^+}{\mu^2} - \frac{5}{3} \right\} N_c$$

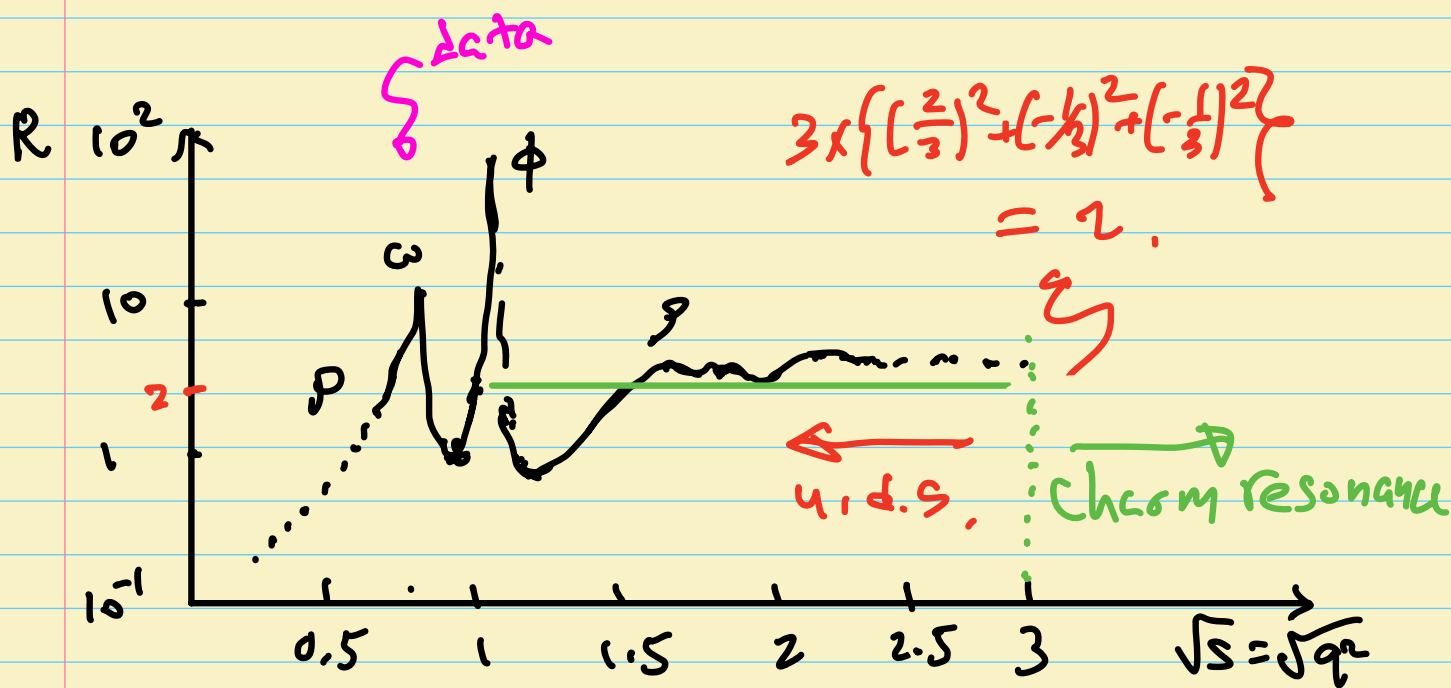
$$\text{Im}(\Pi(q^2)) = -\frac{\alpha}{3\pi} \pi N_c = -\frac{\alpha}{3} N_c$$

$$\sigma = \frac{4\pi\alpha}{q^2} \left(-\frac{1}{2}\right) e_q^2 \times 2 \left(-\frac{\alpha}{3} N_c\right)$$

$$= \sum_f \frac{4\pi\alpha^2 e_q^2 N_c}{3S} + \mathcal{O}(\alpha_s)$$

Experimentally, one usually looks at

$$R = \frac{\sigma_{hadron}}{\sigma_{\mu^+\mu^-}} \approx \sum_q e_q^2 N_c + \mathcal{O}(\alpha_s) + \dots$$



good agreement between data & pQCD at large q^2 .

actually LO pQCD is lower than the measured R value. which can be improved by higher order corrections as we will see in part 3 of this lecture

In the resonance region, the data exhibits peaks & oscillation. \neq pQCD calculation

- dispersion relation &
the parton hadron duality.

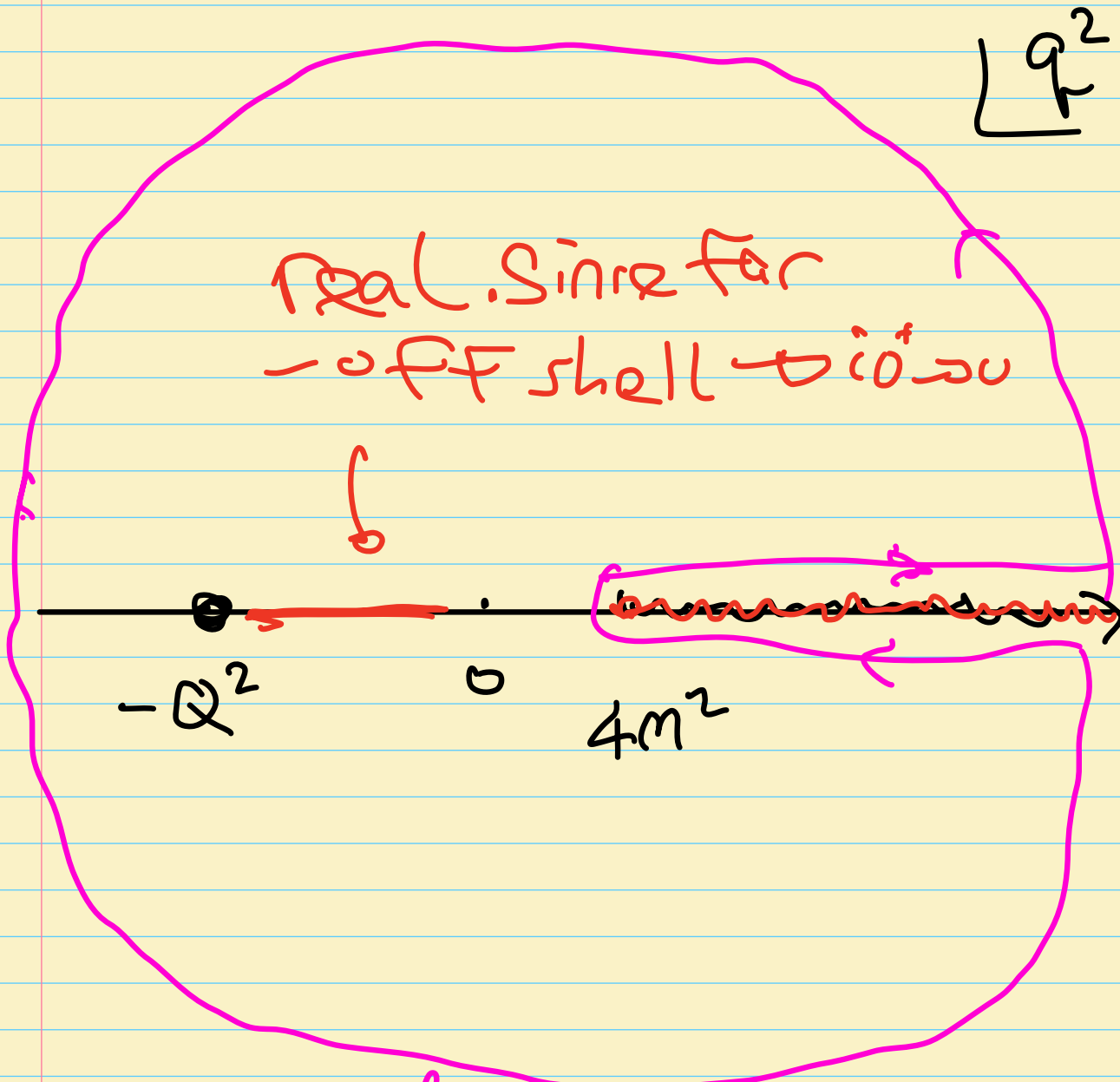
To have a better understanding of
the previous comparison between data
of PQCD prediction, we consider
the contour integration

$$\frac{1}{2\pi i} \oint dq^2 \frac{A(q^2)}{(q^2 + Q^2)^2} = \frac{d}{dq^2} A(q^2) \Big|_{q^2 = -Q^2}$$

where

$$A(q^2) \equiv i \int d^4x e^{iq \cdot x} \langle 0 | T [j^{\mu}(x) j_{\mu}^{\nu}(0)] | 0 \rangle e_{\nu}^2$$

and the contour is given by



Real. Since far
 off shell to $i0_{\pm}$

$-Q^2$

0

$4m^2$

q^2

$\frac{A(q^2)}{[q^2 + Q^2]^2}$ decays fast enough
 to vanish on the boundary.

The contour indicates that

$$\frac{d}{dq^2} A(q^2) \Big|_{q^2 = -Q^2} \rightsquigarrow \text{OPE valid only for quarks \& gluons.}$$

$$= \frac{1}{2\pi i} \int_{4m^2}^{\infty} \frac{A(q^2 + i0^+) - A(q^2 - i0^+)}{(q^2 + Q^2)^2} dq^2$$

$$= \frac{1}{2\pi i} \int_{4m^2}^{\infty} \frac{A(q^2) - A^*(q^2)}{(q^2 + Q^2)^2} dq^2 \rightsquigarrow \text{Schwartz reflection principle}$$

$$= \frac{1}{2\pi i} \int_{4m^2}^{\infty} \frac{2i \text{Im} A(q^2)}{(q^2 + Q^2)^2}$$

$$= \frac{1}{2\pi} \int_{4m^2}^{\infty} \frac{q^2 \text{Im} \sigma_x(q^2)}{(q^2 + Q^2)^2} dq^2 \times \frac{-1}{4\pi d} \rightsquigarrow \text{optical theorem}$$

weighted hadronic cross section

The dispersion relates the weighted hadronic cross-section with the OPE formalism in terms of partons.

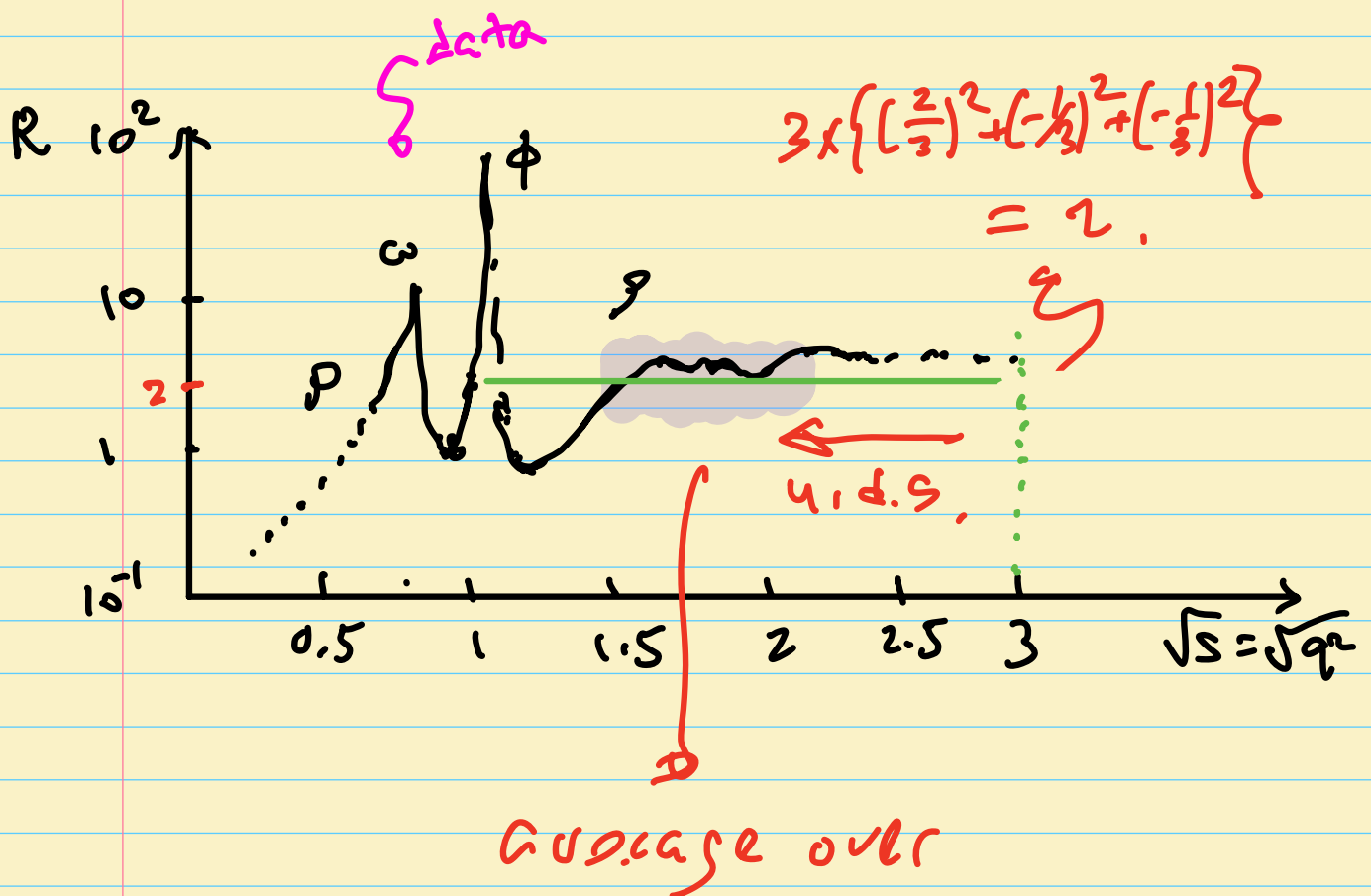
The morality of the relation is that σ

If we are sufficiently inclusive over the hadrons, then the calculation using partons approximate well the hadronic observables.

\Rightarrow parton-hadron duality.

→ in low q^2 region or at the resonance it produces an exclusive hadron

the partonic calculation fails to describe the data. In order for pQCD to work, we need to average over the q^2 region to cover sufficiently inclusive over the hadrons

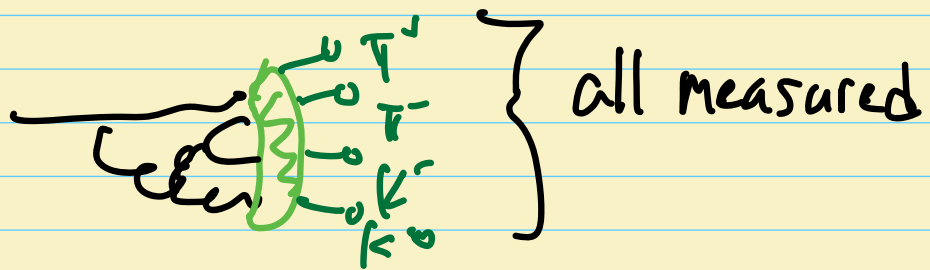




at large values of $q^2 \gg \Lambda_{QCD}^2$

the cross-section already includes sufficient hadrons. therefore the parton-hadron duality holds locally in q^2

an intuitive way to understand this is via probability



if inclusive enough

$$\text{Prob}(\text{producing partons}) \approx \text{Prob}(\text{producing hadrons})$$

⇒ examples of "sufficiently inclusive"

- Inclusive cross-section (Z/H p_t dist.)
- jets (bag of hadrons)
- event shapes (thrust, ...)

can be understood perturbatively
using partons

⇒ examples of "insufficiently inclusive"

- tag a hadron in the final state, but inclusive over others $h+X$ (semi-inclusive)
- initial state hadron, e.g. ep , pp

additional non-pert. distribution

functions are required, PDFs

↓ fragmentation functions.

crucial for the LHC